



WASHINGTON STATE GAMBLING COMMISSION
LOCATION: 4565 7th Avenue SE, Lacey WA 98503
MAILING ADDRESS: P.O. Box 42400, Olympia WA 98504-2400
TELEPHONE: 360-486-3440 / FAX NUMBER: 360-486-3631
TOLL-FREE: 1-800-345-2529 / TDD: 360-486-3637
WEB SITE: www.wsgc.wa.gov

MANUFACTURER OF GAMBLING EQUIPMENT / PARAPHERNALIA

THIS PACKET CONTAINS:

1. This summary sheet with general instructions.
2. A pamphlet entitled "*Gambling License Certification Program*".
3. The *Manufacturer of Gambling Equipment / Paraphernalia* (GC4-001) application with attachments and supporting forms including:
 - Appendix A – Sole Proprietorship Requirements (GC5-003);
 - Appendix B – Limited and General Partnership Requirements (GC5-004);
 - Appendix C – Limited Liabilities Company "LLC" Requirements (GC5-005);
 - *Disclosure of LLC Members / Managers* (GC4-017b);
 - Appendix D – Corporation Requirements (GC5-006);
 - *Disclosure of Corporate Officers / Stockholders* (GC4-017);
 - Authorization for Examination and Release of Information (GC4-299);
 - Two *Gaming Representative* (GC4-002) applications;
 - *License Class Structure Information* (GC5-144);
 - *Personal / Criminal History Statement* (BLS-700-301);
 - *Financial Statement* (BLS-700-303);
 - *Source of Funds Statement* (BLS-700-304); and
 - Selected Washington Administrative Codes pertaining to licensing requirements.

THIS FORM WILL BE READ BY A VERY SENSITIVE SCANNING DEVICE

Please use the following examples to fill out this form:

Print with a black ballpoint pen and press firmly, or use a typewriter.

- For optimum accuracy, please print in capital letters and avoid contact with the edge of the box. The following will serve as an example:

A	B	C	D	E	F	G	H	I	J	K	L	M
N	O	P	Q	R	S	T	U	V	W	X	Y	Z

1	2	3	4	5	6	7	8	9	0
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- Please 'X' the boxes. Do NOT shade-in or use '✓'.

'X' Boxes Like This → <input checked="" type="checkbox"/>
Not Like This → <input type="checkbox"/> <input checked="" type="checkbox"/>

- When asked for additional lists or comments, the information must be neatly printed or typewritten on sheets of white 8 ½ X 11 inch paper.
- When asked for legal or business documents, the copies must be clean and legible and marked so the document can be identified to the question being asked.

INSTRUCTIONS ON REVERSE SIDE

IMPORTANT INSTRUCTIONS FOR ALL APPLICANTS – READ BEFORE PROCEEDING

1. All forms in this packet may be copied for your use. A copy of this application is also on our web site.
2. Washington Administrative Code (WAC) citations are noted throughout this application. When cited, refer to the enclosed rules (administrative codes) for clarification.
3. Read through the rules, the enclosed licensing pamphlet and other information provided.
4. If you choose to voluntarily withdraw your application or if the Commission administratively closes your application, the balance of any fees and additional amounts paid, less all applicable commission processing and investigative costs, will be refunded with the fees on page 1 of the application.
5. Each license applicant is evaluated on an individual basis. Even if you were licensed before, do **NOT** answer any question with the words "on-file".
6. This application must be signed and dated by the appropriate individual(s). Where a signature is required, the Commission requires an original signature in ink. Please sign inside the signature blocks provided.
7. An application is considered complete when the basic application form and attachments have been completed in every respect, all requested documents have been attached, and the application is submitted with the proper fee to the Gambling Commission's office located in Lacey, Washington. Mail your completed application to: Washington State Gambling Commission, P.O. Box 42400, Olympia, WA 98504-2400. An application shall be considered delivered to the Commission when actually deposited in the United States mail properly addressed to the Commission. See WAC 230-04-020.
8. Keep a photocopy of your completed application, including all documentation, in case we need to contact you to confirm information from your application and the supporting documents you submitted.
9. The Commission cannot act on your application if proper fees have not been paid. You may fax documentation for this application to expedite the process, but clear copies must be submitted because faxed documentation may be illegible, and original signatures are required.
10. If you need assistance in completing this application – please call one of the telephone numbers listed on Page 1 and ask for a Licensing Technician for new applications.

NOTE: You may be required to supply additional documentation based on information you previously supplied. See WAC 230-04-022.

CHANGES MUST BE REPORTED

During the application process if any changes occur that affect your answers / statements on this application, you must notify us. For example, notify us if there is a change in your articles of incorporation or by-laws, or any documents that affect your organizational structure, or any leases, rentals, consignments, or franchises, or other agreements relating to gambling activities or altering your manufacturing business, whether written or oral, and all loans, from other than recognized financial institutions, which individually or collectively exceed a total of \$2,000.00 during the calendar year.

YOUR APPLICATION AND THE PUBLIC RECORDS ACT

From the moment we receive your application, it becomes a public document subject to the Public Records Act (RCW 42.17) and other Washington laws. Per WAC 230-04-020 (4), the Commission may disclose to the public or discuss at a public meeting, all information set forth in this application and all supplemental information submitted. The Commission responds to public document requests through a Public Disclosure Request process.



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MANUFACTURER OF GAMBLING EQUIPMENT / PARAPHERNALIA

MARK ☒ LICENSE CLASS APPLYING FEE: (Fee based on annual gross sales of gambling equipment and paraphernalia.)

- ☐ Class A..... Machines Only..... \$ 586
☐ Class B..... Up To \$ 250,000..... \$ 1,172
☐ Class C..... Up To \$ 500,000..... \$ 1,762
☐ Class D..... Up To \$ 1,000,000..... \$ 2,350
☐ Class E..... Up To \$ 2,500,000..... \$ 3,060
☐ Class F..... Over \$ 2,500,000..... \$ 3,768

SPECIAL NOTE: In addition to the basic license fee, the commission will require additional payments to recover background and other investigative costs. Payment of these fees will be required in advance and their payment is a condition which must be met to continue processing your application. See #4 of instructions. See WAC 230-04-240.

GENERAL INFORMATION

1. Business Trade Name: _____
DBA

Applicant: _____
Use Full Name: Sole Proprietorship (Last, First, MI), Partnership, LLC, or Corporate Name

A. Business Mailing Address: _____

City State Zip

Telephone: _____
Primary Telephone Number Message Telephone Number

Fax Telephone Number Cell Telephone Number (Optional)

E-Mail Address: _____

B. Premises Address (Street Address): _____

City State Zip

Telephone: _____
Primary Telephone Number Message Telephone Number

Fax Telephone Number Cell Telephone Number (Optional)

C. City Limits: Inside ☐ Outside ☐ (Mark ☒ One)

D. State of Washington Dept. of Revenue Number: _____ Unified Business Identifier

Business Office Use Only:

Code: 211-____ Date: ____/____/____ Amt: \$____.00 Val #: _____

Code: 211-____ Date: ____/____/____ Amt: \$____.00 Val #: _____

2. WHAT BUSINESS TYPE is this?

- ☐ Sole Proprietorship (Complete Appendix A, GC5-003)
- ☐ Partnership (Complete Appendix B, GC5-004)
- ☐ LLC (Complete Appendix C, GC5-005)
- ☐ Corporation (Complete Appendix D, GC5-006)

3. For all premises leased and / or purchased that are occupied by your business, including warehouse locations. Submit copies of leases and / or purchasing closing documentations for each.

4. LIST EACH OFFICE, WAREHOUSE, OR OUTLET WHERE YOU WILL MANUFACTURE, STORE, OR SELL YOUR GAMING EQUIPMENT / PARAPHERNALIA.

A. Premise Name: _____

Street Address: | | | | | | | | | | | | | | | | | | | | | |

City State Zip

County Telephone Number

B. Premise Name: _____

Street Address: |

City	State	Zip
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County Telephone Number

C. Premise Name: _____

Street Address: |

City State Zip

County Telephone Number

D. Premise Name: _____

Street Address: _____

[illegible]

County Telephone Number

- 6. LIST EACH EMPLOYEE YOU HAVE ENGAGED AS A MANUFACTURER'S REPRESENTATIVE TO SELL YOUR PRODUCT IN WASHINGTON:** (See attached WAC 230-02-225 for the definition of Manufacturer Representative.)
(Attach additional sheets using same format, as needed)

E-mail address, if available: _____

- Telephone:**
- | | |
|---------------------------------------|---------------------------------------|
| _ _ _ _ _ - - _ _ _ _ _ - - _ _ _ _ _ | _ _ _ _ _ - - _ _ _ _ _ - - _ _ _ _ _ |
| Office Telephone Number | Home Telephone Number |
| _ _ _ _ _ - - _ _ _ _ _ - - _ _ _ _ _ | _ _ _ _ _ - - _ _ _ _ _ - - _ _ _ _ _ |
| Fax Telephone Number | Cell Telephone Number (Optional) |

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7. ARE YOU NOW OR HAVE YOU EVER BEEN LICENSED IN OTHER JURISDICTIONS?

(Other jurisdictions include other countries, provinces, states and tribal nations.)

(Attach additional sheets using same format, as needed)

☐ No ☐ Yes **IF YES**, Complete the following:

- Jurisdiction: _____ Dates: From: _____ To: _____
Applied or Licensed for: _____
- Jurisdiction: _____ Dates: From: _____ To: _____
Applied or Licensed for: _____
- Jurisdiction: _____ Dates: From: _____ To: _____
Applied or Licensed for: _____
- Jurisdiction: _____ Dates: From: _____ To: _____
Applied or Licensed for: _____

8. WERE ANY GAMBLING LICENSES / PERMITS / AUTHORIZATIONS OR APPLICATIONS, EITHER GRANTED, REVOKED, SUSPENDED, DENIED, OR WITHDRAWN?

☐ No ☐ Yes **IF YES**, attach a letter explaining the circumstances include dates and locations.

9. MARK ☒ EACH APPLICABLE AREA AND SUBMIT INFORMATION AS INDICATED.

- ☐ A. Does the applicant have any financial interest in any other gambling related business? In this question, the applicant includes a sole proprietor and their spouse, all partners and their spouses, and any corporate officers, directors, owners and their spouses.

☐ No ☐ Yes **IF YES**, using the following format, provide all the information asked for, including full details of the financial interests. Use separate sheets of paper if required.

Name of person who has the interest: _____

Relationship of such person to applicant, or applicant's business: _____

Name of Business: _____

Address: _____

_____ City _____ State _____ Zip _____

County: _____

Telephone: _____ Office Telephone Number _____ Home Telephone Number _____

_____ Fax Telephone Number _____ Cell Telephone Number (Optional) _____

Details of Interest Held: _____

9. MARK ☒ EACH APPLICABLE AREA AND SUBMIT INFORMATION AS INDICATED. (Continued)

- ☐ B. On a separate sheet of paper, list all gaming equipment / paraphernalia that are manufactured by your business. (Be sure to include the brand name(s) under which each type of gambling equipment or paraphernalia is sold.)
- ☐ C. Provide a list of all gaming equipment / paraphernalia that will be manufactured for use within the state of Washington. (Be sure to include the brand name(s) under which each type of gambling equipment or paraphernalia is sold.)
- ☐ D. List the full name and current address of each person (including individuals, corporations, partnerships, or other associations), together with each person's spouse, if any, that has any interest in any part of the premises, including the building(s), to be used for the manufacturing of gambling equipment and paraphernalia and fully set forth ALL details of the interest of each such person.

- ☐ E. Provide a detailed narrative on the process for manufacturing, assembly, and / or development of your product being marketed in Washington State. This should include names of suppliers of components, contractors, and service providers; as well as the shipping and distribution process.

- ☐ F. List any contractors or subcontractors used for the manufacturing or assembly of the gaming equipment / paraphernalia and state they specific role in the manufacturing / assembly process.

- ☐ G. Are any proprietary rights for key components or software of the product owned by anyone other than the applicant?

☐ No ☐ Yes **IF YES**, please list the components / software and owner of the rights.

Have you answered each question? Have you enclosed all supporting documents / information? Remember, an incomplete application may cause significant delays and could result in administrative closure or denial of your application.

You should also be aware that WAC 230-04-110 (Licensing of Manufacturers) requires that each applicant be able to demonstrate the ability to comply with all restrictions and quality control requirements imposed by Washington State regulations. The licensing process may include an on-site review of the applicant's manufacturing, processing, and equipment warehousing locations to ensure the applicant's ability to comply with all regulatory requirements.

SPECIAL NOTE:

Our rules require that each applicant make available, for our review and evaluation, all financial records of all substantial interest holders. See WAC 230-02-300. ***Failure to produce these records will be cause for denial or administrative closure of your application, minus the processing costs.***

Should you have specific questions involving personal and criminal history, and financial or source of funds information / documentation, you may contact the Financial Investigations Unit for assistance at

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